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IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Inventor(s): Hal Joseph Burch
William R Cheswick

Case: 2-9

Serial No.: 09/901286

Filing Date: July 9, 2001

Examiner: Group Art Unit: 2122

Title: Method And Apparatus For Tracing Packets In A Communications Network

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SIR:

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97(b)

In accordance with 37 CFR 1.97(b), the enclosed Information Disclosure Statement, with attached reference(s), is submitted for consideration in the above-identified application.

Copies of the listed documents are enclosed.

NO FEE IS REQUIRED

In the event of any non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **Lucent Technologies Deposit Account No. 12-2325** as required to correct the error.

Respectfully,

Kenneth M. Brown, Attorney
Reg. No. 37590
908-582-5998

Date: 1/4/02

Docket Administrator (Room 3J-219)
Lucent Technologies Inc.
101 Crawfords Corner Road
Holmdel, NJ 07733-3030

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| INFORMATION DISCLOSURE STATEMENT | Case Name. | H.J. Burch 2-9 |
| | Serial No. | 09/901286 |
| | Applicant: | H.J. Burch, et al. |
| | Filing Date: | July 9, 2001 |
| | Group: | 2122 |

U.S. PATENT DOCUMENTS

| *Examiner Initial | Document Number | Date | Name | Class | Subclass | Filing Date |
|-------------------|-----------------|------|------|-------|----------|-------------|
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FOREIGN PATENT DOCUMENTS

| Document Number | Date | Country | Class | Subclass | Translation |
|-----------------|------|---------|-------|----------|-------------|
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OTHER (including Author, Title, Date, Pertinent Pages, etc.)

| | |
|----|---|
| AA | Cheswick, B., Burch, H., and Branigan S., "Mapping and Visualizing the Internet", USENIX Annual Technical Conference, June, 2000. |
| AB | Postel, J., "RFC 791: Internet Protocol," The Internet Society, Sept. 1981. |
| AC | Postel, J., "RFC 768: User Datagram Protocol," The Internet Society, Aug 1980. |
| AD | Postel, J., "RFC 792: Internet Control Message Protocol," The Internet Society, Sept. 1981. |
| AE | Postel, J., "RFC 864: Character Generator Protocol," The Internet Society, May 1983. |
| AF | Claffy, K. "Internet measurement and data analysis: topology, workload, performance and routing statistics," NAE '99 workshop. |
| AG | CERT, "Smurf IP Denial-of-Service Attacks," CERT advisory CA098.01, Jan, 1998. |
| AH | CERT, "Results of the Distributed-Systems Intruder Tools Workshop", The CERT Coordination Center, Dec. 1999. |
| AI | Ferguson, P. and Senie, D. "RFC 2267: Network Ingress Filtering: Defeating Denial of Service Attacks which employ IP Source Address Spoofing." The Internet Society, Jan. 1998. |
| AJ | CERT, "TCP SYN Flooding and IP Spoofing Attacks," CERT Advisory CA-96.21, Sept. 1996. |
| AK | CERT, "IP Spoofing Attacks and Hijacked Terminal Connections," CERT Advisory CA-95.01, Jan, 1995. |

***References listed beyond AZ would list as AA-1, AB-2, AC-3 thru AZ-26.

***Note First Page ONLY Header/Footer. Subsequent pages must ONLY have page # layout as

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***Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant